



PCT #3
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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/511,414
Filing Date	14 October 2004
First Named Inventor	David P. BINGAMAN et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2380 US F

ENCLOSURES (Check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Alcon Research, Ltd.		
Signature			
Printed name	Teresa J. Schultz		
Date	17 NOV 2004	Reg. No.	40,526

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Barbara McKenzie	Date	17 November 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David P. BENGAFFAN et al.

Serial No: 10/511,414

Filed: 14 October 2004

Examiner:

Group Art Unit:



FOR: METHODS OF TREATING VASCULAR ENDOTHELIAL GROWTH FACTOR
MEDIATED VASCULAR DISORDERS USING AMFENAC OR NEPAFENAC

**INFORMATION DISCLOSURE STATEMENT PURSUANT
TO 37 C.F.R. 1.56, 1.97, AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, 1.97, and 1.98, Applicants submit the patents, articles, and other information referenced in the specification as filed. The references are listed on the attached PTO Form 1449. Applicants are submitting copies of the foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2), copies of the U.S. patents are not enclosed.

A copy of the International Search Report issued in the PCT application, of which the present application is a 35 U.S.C. §371 application, is also included for the Examiner's convenience.

Applicants request that the listed patents, articles, and other information be considered during prosecution of this application and that they appear among the "References Cited" on any patent issuing herefrom.

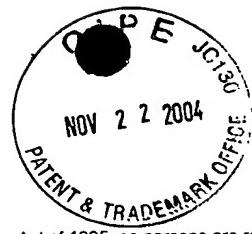
Respectfully submitted,

17 Nov. 2004
Date

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Attorney Docket No.: 2380 US F



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/511,414
Filing Date	14 October 2004
First Named Inventor	David P. BINGAMAN et al.
Art Unit	
Examiner Name	

Attorney Docket Number 2380 US F

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
A1	US- 2002/0037929 A1		03/28/2002	Kapin et al.	
A2	US- 4,045,576		08/30/1977	Welstead Jr. et al.	
A3	US- 4,126,635		11/21/1978	Welstead Jr. et al.	
A4	US- 4,254,146		03/03/1981	Walsh	
A5	US- 4,313,949		02/02/1982	Shanklin Jr. et al.	
A6	US- 4,503,073		03/05/1985	Walsh et al.	
A7	US- 4,568,695		02/04/1986	Moran et al.	
A8	US- 4,683,242		07/28/1987	Poser	
A9	US- 4,910,225		03/20/1990	Ogawa et al.	
A10	US- 5,475,034		12/12/1995	Yanni et al.	
A11	US- 6,066,671		05/23/2000	Yanni et al.	
	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				
A12		UK 2,071,086 A	09/16/1981	Welstead et al.		
A13		UK 2,093,027 A	08/25/1982	Walsh et al.		
A14		WO 01/15744 A1	03/08/2001	Brigham et al.		
A15		WO 02/078681 A2	10/10/2002	Alcon, Inc.		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Application Number	10/511,414
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Filing Date	14 October 2004
				First Named Inventor	David P. BINGAMAN et al.
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2380 US F

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B1	Bingaman DP, et al., "Topical Ocular Delivery of Nepafenac Inhibits Preretinal Neovascularization", Abstract No. 3920 Annual Meeting of the Association For Research in Vision and Ophthalmology; Fort Lauderdale, FL (May 5-10, 2002) [XP002247861]	
	B2	Kwak N, et al., "VEGF Is Major Stimulator in Model of Choroidal Neovascularization", IOVS 41(10):3158-3164 (2000)	
	B3	Penn JS et al., abstract, "Studies of the Effect and Mechanism of Action of Topical Nepafenac in a Rat Model of ROP", Abstract No. 2741 Annual Meeting of the Association For Research in Vision and Ophthalmology; Fort Lauderdale, FL (May 5-10, 2002) [XP002247860]	
	B4	Takahashi et al., "Topical Nepafenac Inhibits Ocular Neovascularization", IOVS 44(1):409-415 (2003)	
	B5	Walsh et al., "Antiinflammatory Agents. 4.1 Syntheses and Biological Evaluation of Potential Prodrugs of 2-Amino-3-benzoylbenzeneacetic Acid and 2-Amino-3-(4-chlorobenzoyl) benzeneacetic Acid", J. Med Chem, 33:2296-2304 (1990)	
	B6		
	B7		
	B8		
	B9		
	B10		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
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